

**Verification of Translation**

I, Franz Herrmann, declare that I am well acquainted with the English and German languages and that, to the best of my knowledge, ability and belief, the attached translation of the German language application PCT/DE03/01318 is a true and faithful translation of that document.

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Signed: Franz Herrmann

# Description

A light applicator and a method for producing a diffuser

5 The invention relates to a light applicator with a diffuser which is attachable to a light guide and in which different diffusion regions with different scattering parameters follow successively along an optical axis of the light guide prolonged into the diffuser.

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The invention further relates to a method for producing a diffuser connectable to a light guide.

Such a light applicator for medical applications and a method  
15 for producing a diffuser attachable to a light guide is known from US Pat. No. 5,978,541. The known light applicator comprises a cylindrical core which is interspersed with light scattering particles. The light scattering particles are used as scattering centers at which the light arriving through the  
20 light guide in the diffuser is scattered.

The distribution of concentration of the scattering centers along the optical axis of the light guide connectable to the diffuser, which axis is prolonged into the diffuser, is  
25 chosen in such a way that the diffuser emits light with a predetermined light distribution.

The diffuser is produced in an extrusion process in which the concentration of the scattering centers is set by mixing two  
30 suspensions with different concentrations. The concentration of the scattering centers during the extrusion process is continuously monitored for the purpose of producing a specific concentration profile and is compared with a predetermined set value.

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For the determination of the set values it is proposed to assemble prototypes of the diffuser from individual parts

with different concentrations. It is then possible from the plurality of prototypes to choose the one prototype whose light distribution corresponds best to the desired light distribution. The mixing process during the extrusion of the diffusor is then set in such a way that the finished diffusor then shows approximately the desired distribution of the scattering centers.

The known light applicators are generally used within the scope of photodynamic therapy for the treatment of tumors. A photosensitizer which enriches selectively in the tumor is applied in this process. After the application of the photosensitizer the tumor and the ambient healthy tissue is irradiated with light. Toxins are produced through the thus initiated photochemical processes which damage the tumor in a purposeful manner as a result of the tumor selectivity.

Since a certain concentration of the photosensitizer (although a low one) will set even in the healthy tissue, any overdosing with light may lead to undesirable tissue damage in the healthy tissue. On the other hand, the desired therapeutic success will not to be achieved in the case of underdosage. The tolerance range for the light dose to be applied is therefore often narrow. Since the light distribution depends on the distribution of the scattering centers, a certain distribution of concentration of the scattering centers in the diffusor is necessary for a specific light distribution. In order to ensure that the required precision in the concentration of the scattering centers can be achieved, the known method for producing the diffusor requires a complex regulation for the extrusion process.

On the basis of this state of the art, the invention is therefore based on the object of providing an easy-to-produce light applicator and a method for producing a diffusor which can be used for the light applicator and offers a defined distribution of concentration of the scattering centers.

These objects are achieved by the light applicator and the method with the features of the independent claims. Further embodiments and refinements are subject matter of the dependent claims.

The diffuser is configured in the light applicator in such a manner that the diffusion regions will overlap with respect to a line-of-sight aligned at a right angle to the optical axis of the light guide. In the overlapping region of the diffusion regions, a cross-sectional surface whose normal is the optical axis is therefore composed of partial surface areas with different scattering parameters. The light incident along the optical axis will therefore meet different partial surface areas with different scattering parameters. The surface area ratio of the diffusion regions in the respective cross-sectional surface can be chosen according to the desired light intensity. In the light applicator there is accordingly not a mixing process of the different diffusion mediums in production, but a mixture of the fractions of light scattered in the various diffusion regions.

Since the diffusion regions comprising different scattering parameters are separated, the scattering parameters of the individual diffusion regions can be separately set during the production with a high amount of precision to the required values. For the production of the diffuser for the light applicator it is especially not necessary to perform and monitor a complex mixing process. The diffusion media for the different diffusion regions with the different scattering parameters can rather be produced separately and joined together to the common diffuser. The light applicator can thus be produced in a simple manner such that a predetermined emission profile is maintained.

In a preferred embodiment of the light applicator, the boundary surfaces are provided with a paraboloidal configuration,

with the axes of symmetry of the paraboloids extending along the optical axis of the light guide wherein the optical axis is prolonged into the diffusion medium. Since the cross-sectional surface area of the paraboloids change in a linear way along the path covered along the optical axis, this configuration allows a linear transition between two diffusion regions with different scattering parameters. Moreover, the diffusion regions can be produced by injecting a first diffusion medium into a second diffusion medium, with injection being understood both as suction as well as injection.

In a further preferred embodiment of the invention, a reflector is associated with a proximal end of the diffuser which guides the light emitted from the diffuser in predetermined directions. Such a reflector can be a scattering hemisphere which guides the light emitted by the diffuser towards the distal end. Such a light applicator is especially suitable within the scope of gynecology for the photodynamic therapy of dysplasia on the surface of portio and cervical canal.

The invention is now explained in closer detail by reference to the enclosed drawings, wherein:

Fig. 1 shows a cross-sectional view through a first embodiment of a diffuser and a diagram with the concentration of the scattering centers in the diffuser as averaged over the cross section;

Fig. 2 shows a cross section through a further modified diffuser;

Fig. 3 shows a cross section through a diffuser whose distal end is closed off with a mirror;

Figs. 4a to 4e shows representations of the method steps applied to produce the diffuser of fig. 2;

5 Fig. 5 shows a cross section through a light applicator for portio and cervical canal;

Fig. 6 shows a cross-sectional view through a further modified light applicator, and

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Fig. 7 shows cross-sectional view through a light applicator whose light exit surface is provided with a partially backscattering layer.

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The diffuser 1 as shown in fig. 1 can be connected to a light guide 2. The light guide 2 projects with a light guide fiber 3 into the proximal end 4 of a tube section 5. Fig. 1 shows an optical axis 6 of the light guide fiber 3 extended into  
20 the interior of the tube section 5.

Along the optical axis 6 which is prolonged into the interior of the tube section 5, a proximal diffusion region 7, a medium diffusion region 8 and a distal diffusion region 9 are  
25 successively formed. The distal diffusion region 9 closes off a distal end 10 of the tube section 5. The proximal diffusion region 7, the middle diffusion region 8 and the distal diffusion region 9 are each mutually delimited by paraboloidal boundary surfaces 11 and 12. In the embodiment of the diffuser 1 as shown in fig. 1, the paraboloid apexes of the  
30 paraboloidal boundary surfaces 11 and 12 each face the proximal end 4 of the diffuser 1. Moreover, the axes of symmetry of the paraboloidal boundary surfaces 11 and 12 are situated on the optical axis 6. Since the cross-sectional surfaces of the paraboloidal boundary surfaces 11 and 12 which are  
35 aligned at a right angle to the optical axis 6 are proportional to the distance from the paraboloid apex, the concen-

tration of the scattering centers which is averaged with respect to surface area over the cross-sectional surface area increases or decreases in a linear manner with the distance from the paraboloid apex of the paraboloidal boundary surfaces 11 and 12 depending on the concentration of the scattering centers in the proximal diffusion region 7, the middle diffusion region 8 and the distal diffusion region 9.

The concentrations of the scattering centers in the proximal diffusion region 7, in the middle diffusion region 8 and in the distal diffusion region 9 are designated below with  $c_1$ ,  $c_2$  and  $c_3$ . The concentrations of the scattering centers in the proximal diffusion region 7, in the middle diffusion region 8 and in the distal diffusion region 9 can assume highly different values.

The linear transition of the surface portions of the different diffusion regions 7, 8 and 9 leads to a concentration curve 13, as is shown by way of example in the diagram shown in fig. 1. In the diagram as shown in fig. 1, the path along the optical axis 6 is entered along the ordinate. The abscissa shows the concentration of the diffusion regions 7 through 9 as averaged over the cross-sectional surface area.

When the concentration of the scattering centers rises from the proximal diffusion region 7 to the distal diffusion region 9, meaning that  $c_1 < c_2 < c_3$  applies, the concentration curve 13 of the scattering centers is obtained which is shown in fig. 1 and which is linear in sections and rises continually. The scattering probability therefore increases from the proximal end 4 to the distal end 10. The intensity of the light which extends along the optical axis 6 and decreases from the proximal end 4 to the distal end 10 can be compensated with the help of the concentration curve 13. As a result, the intensity of the light scattered out of the diffusor 1 will hardly decrease from the proximal end 4 to the distal end 10. A homogeneous distribution of light along

the optical axis 6 can thus be achieved. A homogeneous distribution of light shall preferably be understood as a distribution of light in which the power density on the light-emitting surfaces of the diffusor fluctuates by a maximum of  
5 +/- 15%, preferably +/- 10%.

In fig. 1, the paraboloid apex of the paraboloidal boundary surfaces 11 and 12 each face the proximal end 4 of the diffusor 1. This is not mandatory. Fig. 2 shows a modified embodiment of diffusor 1 in which the boundary surface 11 between  
10 the proximal diffusion region 7 and the middle diffusion region 8 is a paraboloid whose paraboloid apex faces the distal end 10. This configuration of the diffusion regions 7 through 9 allows producing concentration curves which cannot  
15 be produced with similarly directed orientation of the diffusion regions 7 to 9. For example, the middle diffusion region 8 of the diffusor 1 as shown in fig. 2 can be free from scattering centers, so that a characteristic minimum is obtained in the concentration profile of the scattering  
20 centers.

Fig. 3 shows a further modified embodiment of the diffusor 1 in which a mirror 14 is introduced into the tube section 5 at the distal end 10 of the diffusor 1. The exit of light at the  
25 distal end of the diffusor 1 is prevented by the mirror 14.

Figs. 4a through 4e show successive method steps for producing the diffusor 1 shown in fig. 2.

30 The diffusion regions 7 through 9 in the tube section 5 are generally formed in such a way that a curable, liquid diffusion medium to which scattering particles are admixed is introduced into the tube section 5.

35 Prior to the filling of the tube section 5, markings M1 and M2 are applied to the tube section 5. The markings M1 and M2 are arranged at a distance  $L_{E3} = 20$  mm. Since the cross-



sectional surface area of a paraboloid increases proportional to the distance from the paraboloid apex, the volume of a paraboloid is equal to the cross-sectional surface area multiplied by half the distance from the paraboloid apex, or  
5 in other words equal to the base area multiplied by half the height of the paraboloid. The volume marked with the markings M1 and M2 in the tube section 2 corresponds to the volume of a diffusion medium to be sucked into the tube section 5 with the height  $L_{P3} = 40$  mm.

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At first, however, a first diffusion medium 15 provided for the middle diffusion region 8 is sucked into the tube section 5 from a container 16 up to the marking M1. A suction pump 17 is used for sucking the diffusion medium 15 into the tube  
15 section 5, which pump is connected via a tube section 18 with the proximal end 4 of the tube section 5.

Prior to the curing of the medium 15, a further diffusion medium 19 which is provided for the distal diffusion region 9  
20 is sucked into the tube section 5 from a container 20. As a result of the laminar flow of the diffusion medium 19, the diffusion medium 19 progresses further into the diffusion medium 15 in the middle region of the tube section than at the edge. The paraboloidal boundary surface 12 is thus formed  
25 between the distal diffusion region 9 and the middle diffusion region 8. When the diffusion medium 19 is sucked into the tube section 5, the air-fluid-level of the diffusion medium 15 is lifted from the marking M1 to the marking M2. This leads to a distal diffusion region 9 whose volume corre-  
30 sponds to the volume of the tube section 5 situated between the markings M1 and M2. The length  $L_{P3}$  of the distal diffusion region 9 is therefore twice the distance  $L_{E3}$  between the markings M1 and M2. By sending light into the diffusion media 15 and 19 it is possible to check the diffusion media 15 and  
35 19 which are sucked into the tube section 5 for the absence of bubbles and to check the boundary surface 12 for flawless formation.

Finally, the tube section 5 is cut to the total length of  $G = 50$  mm with the help of a cutting apparatus 21 in accordance with fig. 4c. In a further method step as shown in fig. 4d, the tube section 5 is twisted by  $180^\circ$  and an extension portion 22 is attached to the distal end 10 with the help of a connection piece 23. The extension portion 22 is provided with a marking M3 which is situated at a distance  $L_{E1} = 8$  mm from the end of the tube section 5. The marking M3 marks the suction length for forming the proximal diffusion region 7. It is produced in such a way that according to fig. 4e from the proximal end 4 of the tube section 5 a diffusion medium 24 intended for the proximal diffusion region 7 is sucked in from a container 25. The paraboloidal distal diffusion region 9 moves back to the marking M3.

In further method steps (not shown), the distal end 10 is sealed by the mirror 14 and the light guide 2 is introduced into the proximal end 4 of the diffuser 1 and the diffusion media 15, 19 and 24 are cured. The light guide fiber 3 is fixed in the proximal diffusion region 7.

The diffusers 1 illustrated in the figs. 1 to 3 can be used in the present form as light applicators for irradiating hollow organs. The diffusers 1 can also be further modified for special applications.

The diffusers 1 can be used in gynecology as light sources for irradiating portio and cervical canal. For this purpose the diffuser of fig. 2 (as shown in fig. 5) is combined with a transparent hemisphere 26 which is arranged at the proximal end 4 of the diffuser 1. In combination with the hemisphere 26, the diffuser 1 forms a light applicator 27 whose light exit surface is formed by a tube surface 28 of the tube portion 5 and a cross-sectional hemisphere surface 29 of the hemisphere 26. In order to prevent any undesirable irradiation of the vaginal wall, the rear-side surface of the hemi-

sphere 26 is provided with a reflective or completely retroreflective reflection layer 30.

The hemisphere 26 does not sit directly on the diffuser 1.

5 The diffuser 1 is embedded in a transparent tube 31 which for anatomical reasons is bent at an angle of approximately 30° directly after the hemisphere 26. A handle is attached to tube 31 (not shown in fig. 5) which the physician can use to manipulate the light applicator 27. In order to eliminate any  
10 likelihood of injury, the distal end 10 of the diffuser 1 is closed off by a round cap 32.

In the embodiment as shown in fig. 5, the concentration of the scattering centers in the proximal diffusion region 7 and  
15 in the distal diffusion region 9 is higher than in the middle diffusion region 8. The concentration of the scattering centers therefore decreases from the proximal end 4 to the middle diffusion region 8 and increases again towards the distal end 10. A strong radiation from the diffuser 1 in the  
20 region of the hemisphere 26 is achieved through this choice of the concentration conditions. The hemisphere 26 is supplied by the proximal diffusion region 7 with light. The distal diffusion region 9 ensures on the other hand that a sufficient quantity of light emerges from the tube surface  
25 28. Since the concentration of the scattering centers averaged over the cross section of the diffuser 1 increases towards the distal end 10 of the diffuser 1, the drop in the incident light along the optical axis 6 is compensated. By making a suitable choice of the concentration in the distal  
30 diffusion region 9 and in the middle diffusion region 8, a homogeneous distribution of light can be achieved over the diffuser 1. The homogeneous distribution of light over the cross-sectional hemisphere surface 29 is further ensured by the reflection layer 30 if a retroreflective material is used  
35 for the reflection layer 30.

In order to improve the contact with the tissue to be irradiated between portio and cervical canal, the shape of the surface of the hemisphere 26 can be adjusted to the anatomy. For this purpose the modified embodiment of the light applicator 27 as shown in fig. 6 is provided with a conical nose 33 which is attached to the cross-sectional hemisphere surface 29 and tapers towards the distal end 10.

In order to further improve the spatial homogeneity of the radiation emerging from the light applicator 27, the light exit surface which is formed by the tube surface 28 and the cross-sectional hemisphere surface 29 can be provided, as shown in fig. 7, with a partially backscattering layer 34. If the reflectivity of the backscattering layer 34 is higher than its transparency, the photons are scattered back on average several times into the interior of the diffusor 1 and the hemisphere 26 before they finally leave the light applicator 27 through the backscattering layer 34. In this way, the spatial distribution of light in the interior of the light applicator 27 is homogenized and thus also the distribution of the light emitted to the outside by the backscattering layer 34.

It is to be noted that a layer corresponding to the backscattering layer 34 can also be applied to the embodiment of the light applicator 27 as shown in fig. 6.

A suitable material for the diffusion media in the diffusion regions 7, 8, and 9 is a highly transparent silicone caoutchouc which can be doped with  $\text{TiO}_2$  or  $\text{BaSO}_4$ . A mixture ready for processing made of 50% coloring pigments on the basis of  $\text{TiO}_2$  and 50% carrier material on the basis of silicone caoutchouc is the material RTV-ME 601 with the paste FL White of the company Wacker in Burghausen. This material can be further diluted with clear silicone caoutchouc until the desired concentrations are achieved. The concentrations of the paste FL White for the diffusor 1 with a length of 5 cm lie in the

magnitude of 0.005% to 0.2%. At higher concentrations of the scattering centers, this material can also be used for the backscattering layer 34 or the reflection layer 30. Moreover, this material can be processed in the liquid state and cures  
5 at room temperature after a typical curing time of 90 minutes.

A material should be used as a material for the tube portion 5 whose refractive index is smaller than the refractive index  
10 of the diffusion medium used for the diffusion regions 7 through 9. In this case, especially the non-scattered light at the boundary surface between the tube portion 5 and the diffusion regions 7 through 9 are totally reflected until it meets the boundary surface after a scattering event under an  
15 angle which allows the passage of the scattered light. This ensures that non-scattered light does not leave the diffuser 1.

If the diffuser 1 needs not to be flexible, it is possible to  
20 use a tube made of plexiglass instead of the tube portion 5.

A silver cylinder with a polished end surface or a short glass fiber element whose proximal end surface was vaporized with silver may be used for the mirror 14 at the distal end  
25 10 of the diffuser 1. Other materials adjusted to the wavelength of the used light such as aluminum can also be used for forming the mirror surface.

The essential advantages of the light applicators as described herein are the minor efforts in the production of the  
30 diffuser 1 and the high amount of freedom in the design of the distribution of the light as emitted by the light applicators. It is especially possible to achieve a homogeneous distribution of light along the diffuser 1. By combining the  
35 diffuser 1 with the hemisphere 26 a light applicator 27 is obtained which substantially simplifies the photodynamic therapy of portio and cervical canal. The irradiation of

portio and cervical canal can now occur in one pass without any cumbersome positioning and dosimetric calculations with direct tissue contact.

- 5 As was already mentioned, the light applicators as described herein can be used for photodynamic therapy (PDT). In addition, a use within the scope of photodynamic diagnosis (PDD) and laser-induced thermotherapy (LITT) can be considered.
- 10 Finally it is to be noted that the paraboloidal boundary surfaces can also be replaced by boundary surfaces which are conical or have the shape of a truncated cone, are hyperboloidal or following the progress of an exponential function. The boundary surfaces need not necessarily be configured in a
- 15 rotationally symmetrical way relative to the longitudinal axis of the diffusor. However, the individual diffusion regions should overlap along a line-of-sight oriented at a right angle to the longitudinal axis of the diffusor in order to allow a gradual transition from one diffusion region to
- 20 the adjacent diffusion region.